

Application Form
Client Investment profile Questionnaire
NATURAL PERSONS



WARNING: We will not be able to assess the suitability of any services or transactions, unless this questionnaire is fully completed.

First Name			
Last Name			
Middle / Initials (if any)			
Current Full Permanent Address			
Correspondence address (including postal code)			
Telephone number			
Fax number (if any)			
Email			
Voice Password			
Education Level		<input type="checkbox"/> No High School <input type="checkbox"/> High School <input type="checkbox"/> College Degree <input type="checkbox"/> University Degree	
PASSPORT /IDENTIFICATION CARD DETAILS	First name		
	Last name		
	Middle/Initials		
	Gender		
	Passport Number		
	Series		
	Date of Issue		
	Date of Expiry		
	Issuing Authority		
	Nationality		
	Place of Birth		
	Date of Birth		
CUSTOMER EXPERIENCE WITH FINANCIAL INSTRUMENTS	<input type="checkbox"/> Extensive (> 5Y) <input type="checkbox"/> Moderate (2Y-5Y) <input type="checkbox"/> Little (<2Y) <input type="checkbox"/> No experience		
	Nature of financial instruments	Average value of transactions over the previous year (Euro)	Average frequency of transactions per quarter over the previous year
	<input type="checkbox"/> Transferable securities		
	<input type="checkbox"/> Corporate bonds		



	<input type="checkbox"/>	Government or municipal bonds		
	<input type="checkbox"/>	Promissory notes		
	<input type="checkbox"/>	Units in collective investment undertakings (UCTIS)		
	<input type="checkbox"/>	Options, futures, swaps, FRAs relating to securities		
	<input type="checkbox"/>	Options, futures, swaps, FRAs relating to commodities that must be settled in cash		
	<input type="checkbox"/>	Options, futures, swaps, FRAs relating to commodities that can be physically settled and also they are traded		
	<input type="checkbox"/>	Derivative instruments		
	<input type="checkbox"/>	Contracts for differences/Forex		
	<input type="checkbox"/>	Options, futures, swaps, FRAs relating to climatic variable, inflation rates etc		
	<input type="checkbox"/>	Repos		
	<input type="checkbox"/>	Other instrument:		
	<input type="checkbox"/>	Other instrument:		
		<input type="checkbox"/>	Other instrument:	
INVESTMENT GOALS	Nature of transactions / Financial instruments	<input type="checkbox"/> Transferable securities futures, swaps, FRAs relating to that can be physically settled are traded <input type="checkbox"/> Corporate bonds instruments <input type="checkbox"/> Government or municipal bonds <input type="checkbox"/> Promissory notes futures, swaps, FRAs relating to variable, inflation rates etc <input type="checkbox"/> UCTIS	<input type="checkbox"/> Options, commodities and also they <input type="checkbox"/> Derivative <input type="checkbox"/> Options, climatic <input type="checkbox"/> Repos	



		<input type="checkbox"/> Options, futures, swaps, FRAs relating to instrument securities	<input type="checkbox"/> Other
		<input type="checkbox"/> Options, futures, swaps, FRAs relating to instrument commodities that must be settled in cash	<input type="checkbox"/> Other
	Duration of investment	<input type="checkbox"/> Day trading <input type="checkbox"/> 1 – 3 years <input type="checkbox"/> 3 – 5 years <input type="checkbox"/> > 10 years	<input type="checkbox"/> 6 - 12 months <input type="checkbox"/> 5 – 10 years
	Expected frequency of transactions per year	<input type="checkbox"/> 1-5 <input type="checkbox"/> 100+	<input type="checkbox"/> 5-10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50-99
	Level of investment risk	<input type="checkbox"/> High <input type="checkbox"/> Low	<input type="checkbox"/> Medium
	Investment objectives¹	<input type="checkbox"/> Capital Protection Speculation <input type="checkbox"/> Income Generation Other (please specify)	<input type="checkbox"/> Capital Growth <input type="checkbox"/> Income Generation + Capital Growth
Funds available for investments	EURO		
ANTICIPATED YEARLY ACCOUNT TURNOVER	<input type="checkbox"/> ≤ 10,000 <input type="checkbox"/> ≤ 30,000 <input type="checkbox"/> ≤ 50,000 <input type="checkbox"/> ≤ 100,000 <input type="checkbox"/> ≤ 500,000 <input type="checkbox"/> ≤ 1,000,000 <input type="checkbox"/> ≥ 1,000,000		
Source of Funds			
Expected origin of incoming funds to be credited in the account			
Expected destination of outgoing transfers			
Profession or Occupation			
Name of the Employer			
Address of the Employer			
Annual cross salary (euro)			
Other Income (please specify)			
Annual Expenses			
Number of Dependants			
Liabilities (loans etc)			
Persons on which behalf customer is acting (if applicable)			



Purpose and reason for opening the account		
Principal Assets of the Customer (Please describe)	Description	Value
	Rear Estates	
	Financial Instruments	
	Precious Metals	
	Bank Deposits	
	Other (Describe)	
	Other (Describe)	
	TOTAL	
PERSONAL DECLARATION	<p>Do any of the Directors/shareholders/beneficial owners/authorised signatories/representatives hold or held in the last 12 months any prominent public functions (i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	
	<p>Is any of the immediate family members or close associate of the above persons is such person? (the spouse or the person with which he/she cohabit for at least one year, their children and their spouses or the persons with which cohabit for at least one year, their parents, any natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a PEP, any natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of a PEP)</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	
	<p>Do the legal entity involved in the Electronic gambling /gaming activities through the internet?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	
	<p>Do the legal entity offer services (e.g. payment provider, software house, card acquirer) to persons involved in Electronic gambling /gaming activities through the internet?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>	



	<p>Do the authorised representative work in the past 5 years in the financial services sector for more than 1 year?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If YES please indicate position and company name</p> <hr/> <p>Do the authorised representative have any certificate/license issue by any regulatory body or Ministry?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If YES please provide detail information</p> <hr/> <p>Have any of the Directors/shareholders ever declared bankruptcy?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
<p>BANK ACCOUNT DETAILS</p>	<p>BANK NAME:</p> <p>BANK ADDRESS:</p> <p>ACCOUNT HOLDER NAME:</p> <p>JOIT ACCOUNT HOLDER NAME:</p> <p>ACCOUNT NUMBER:</p> <p>SWIFT CODE:</p> <p>IBAN:</p>
	<p>I _____,</p> <p style="text-align: center;"><i>Last, first, middle, name</i></p> <p>DULY AUTHORIZED BY AND ACTING ON BEHALF OF</p> <p>_____</p> <p style="text-align: center;"><i>Name of the Customer</i></p> <p>HEREBY CONFIRM THE ABOVE INFORMATION IS COMPLETE, TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.</p> <p style="text-align: right;">Date/ <input type="checkbox"/><input type="checkbox"/> <input type="checkbox"/><input type="checkbox"/> <input type="checkbox"/><input type="checkbox"/><input type="checkbox"/><input type="checkbox"/></p> <p style="text-align: center;">_____ <i>Signature</i> <i>Date</i> <i>Month</i> <i>Year</i></p>

Notes 1:



- a) **Capital Protection:** Preserve capital while seeking growth at rate linked to a stockmarket Index. Recommended investment period is 3-5 years.
- b) **Capital Growth:** Maximize capital appreciation or the increase in value of a portfolio over the long term. Investments targeted for capital growth tend to have more risk than assets chosen for capital protection and income generation. Recommended investment period is over 5 years.
- c) **Income Generation:** Investment income earned from interest, dividends etc as opposed to that derived from increases in asset value. Risk is usually less than investments targeted for capital growth.
- d) **Income Generation + Capital Growth:** Combination of (b) and (c).
- e) **Speculation:** This type of investments does not promise safety of the initial investment along with the return on the principal amount. Speculators usually select investments with higher risk in order to profit from an anticipated price movement. Speculation involves a significant risk of the loss of the principal investment.

For Official Use Only	
Date in:	Checked by:
Date processed:	Processed by:
Account Number:	
Approved By:	
Back office / Date (dd/mm/yyyy)	Compliance Officer / Date (dd/mm/yyyy)
_____ Signature _____ Date	_____ Signature _____ Date